



## ABC LLC

### United States of America Credit Report

Report Date: December 2016





## COMPANY OVERVIEW

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**COMPANY NAME**

ABC LLC

**DATE OF INCORPORATION**

XXXBER XX, 20XX

**TRADING NAME**

NA

**FORMER NAME**

NA

**DATE OF CHANGE**

NA

**STATE OF INCORPORATION**

STXX OF ILLXXX

**FORM OF BUSINESS**

U.S. PRIVATE CORPORATION

**ENTITY NUMBER**

NA

**BUSINESS ADDRESS**

440 S. LASXX STXX, SUXX 3990, CHXX, IL, 60605, USA

**TELEPHONE**

312-462-XXXX

**FACSIMILE**

312-461-XXXX

**WEBSITE**

www.straiXXXXXancial.com

**TYPE OF BUSINESS**

REGISTERED FUTURES COMMISSION MERCHANT

**AUDITOR**

NA

**CHIEF EXECUTIVE**

JOXX MAZXXX-PRESIDENT

**STAFF STRENGTH**

NA

**LISTED STATUS**

NO

**EXCHANGE**

NA

**SALES TURNOVER**

NA

**NET INCOME**

NA

**BANKER**

NA

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## **COMPANY OVERVIEW**

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### **PUBLIC RECORDS**

PLEASE REFER TO "PUBLIC RECORDS" BELOW

### **PAYMENT RECORD**

PLEASE REFER TO "PAYMENT RECORDS" BELOW

### **MAXIMUM MONTHLY CREDIT GUIDE**

USD XXX,000

### **CREDIT RATING**

DP6

[All figures quoted in UNITED STATES DOLLAR (USD) unless otherwise stated]

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## **BUSINESS PROFILE/ OPERATIONS**

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### **Official Details**

**Year Founded** : 2010  
**Legal Form** : U.S. Private Corporation  
**FEIN** : NA

### **Organizational Background**

Subject was incorporated in the State of Illxxxx on xxxber xx, 20xx. Subject is directly owned by XXX (USA) Inc., and is a subsidiary of the XXX Fixx Group Pte Ltd, the brokering division of the CXX Limited, SiXXX.

### **Operations**

Subject is a Futures Commission Merchant (FCM) registered with the Commodity Futures Trading Commission (CFTC) and the National Futures Association (NFA).

Secondary research revealed that Subject is engaged in providing financial services such as Futures fund, manage Futures, various trade solutions, execution and clearing and other related services for individuals and professional.

### **Business**

Registered futures commission merchant

### **SIC**

62XX Commodity Contracts Brokers and Dealers  
62XX Security and Commodity Exchanges

### **NAICS<sup>1</sup>**

523XXX Commodity Contracts Brokerage  
523XXX Securities and Commodity Exchanges

### **Business Registration**

No business registration on record.

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<sup>1</sup> **NAIC – North American Industrial Code**

**Standard used by Federal statistical agencies in classifying business establishments for the purpose of collecting, analyzing, and publishing statistical data related to the U.S. business economy.**  
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## BUSINESS PROFILE/ OPERATIONS

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### Corporation Filings

<b>File Number</b>	0339XXXX	<b>On</b>	XX/XX/20XX
<b>Entity Name</b>	STXXX FIXXX LLC	<b>Type of LLC</b>	Domestic
<b>Status</b>	ACTIVE	<b>Jurisdiction</b>	IL
<b>Entity Type</b>	LLC	<b>Agent Change Date</b>	XX/XX/20XX
<b>File Date</b>	XX/XX/20XX	<b>Principal Office</b>	1 FINXXX PL XXTH CHICAGO, IL 606050000
<b>Agent Name</b>	CHRXXX LOXX	<b>Management Type</b>	MGR View
<b>Agent Street Address</b>	1 FINXX PL XXTH FL	<b>Duration</b>	PERPETUAL
<b>Agent City</b>	CHICAGO	<b>For Year</b>	20XX
<b>Agent Zip</b>	60XXX		
<b>Annual Report Filing Date</b>	XX/XX/20XX		
<b>Series Name</b>	NOT AUTHORIZED TO ESTABLISH SERIES		

### Liens<sup>2</sup>

No liens on record.

### Judgments<sup>3</sup>

No judgments on record.

### UCC<sup>4</sup>

No UCC filings on record.

### Bankruptcy

No bankruptcy on record.

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<sup>2</sup> Liens

Highlights the activities of subject related to open tax liens levied against Subject. The information generally includes date, filing agency, legal type, legal action, document number, liability amount and description.

<sup>3</sup> Judgments

Form of security interest granted over an item or property to secure the payment of a debt or performance of some other obligation.

<sup>4</sup> UCC – Uniform Commercial Code

Set of laws regulating commercial transactions, especially ones involving the sale of goods and secured transactions.

The Uniform Commercial Code Division operates a filing and retrieval center for UCC financing statements at the state level. If a secured party wishes to perfect a security interest in a collateral when the proper place to file is the Office of the Secretary of State, they must submit a UCC-1 form to the UCC Division with the appropriate fees.

All filings submitted to the UCC Division are given an exclusive filing number. To comply with federal and state law, financing statements are filed alphabetically and numerically.

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## BUSINESS PROFILE/ OPERATIONS

### Local Ownership

None developed during the course of investigation.

### Payments

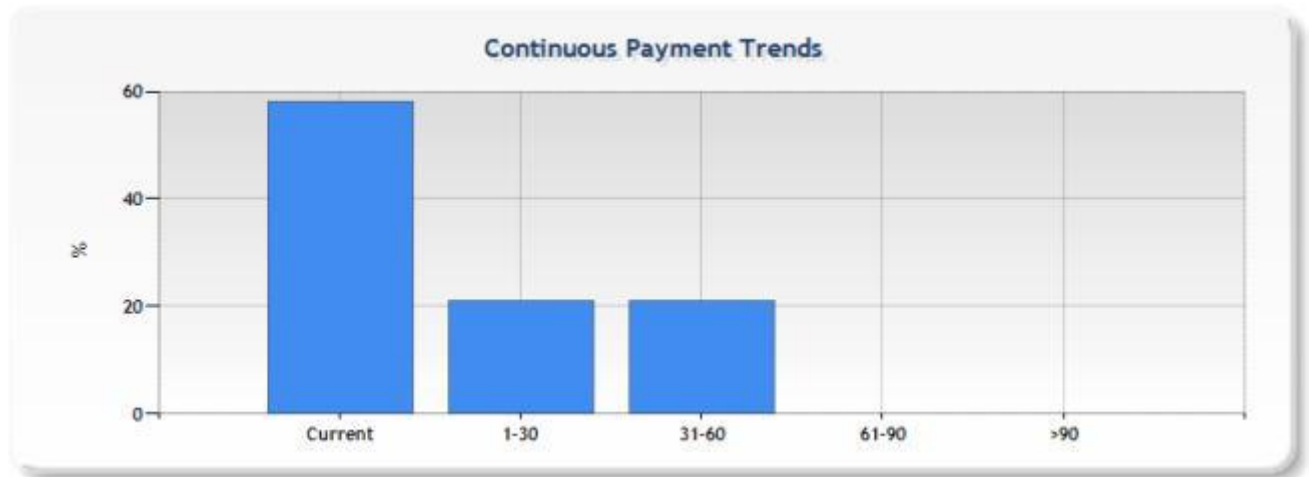
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Current	1-30 Days	31-60 Days	61-90 Days	91+ Days	Comments
AXX TRXX	XX-20XX	0XX-20XX	OTHER	\$XXX	\$XX00	XX%	XX%	XX%	XX%	XX%	
BUS SEXX	XX-20XX	N/A	VARIED	\$XXX	\$XX	XX%	XX%	XX%	XX%	XX%	
COMXX	XX-20XX	N/A	VARIED	\$XX,000	\$X00	XX%	XX%	XX%	XX%	XX%	

### Additional Payment Experience

Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Current	1-30 Days	31-60 Days	61-90 Days	91+ Days	Comments
LEXX	XX-20XX	XX-20XX	CONTRCT	\$XX,000	\$XX,000	XX%	XX%	XX%	XX%	XX%	
OFXXX	XX-20XX	XX-20XX	REVOLVE	\$XX,000	\$XX,000	XX%	XX%	XX%	XX%	XX%	

### Payment Totals

	Recent High Credit	Balance	Current	1-30 Days	31-60 Days	61-90 Days	91+ Days	Comments
Continuously Reported (3)	\$XX,000	\$XX,000	XX%	XX%	XX%	XX%	XX%	DBT:13
Newly Reported (0)	\$XX,000	\$XX,000	XX%	XX%	XX%	XX%	XX%	DBT:0
Tradeline Totals (3)	\$XX,000	\$XX,000	XX%	XX%	XX%	XX%	XX%	DBT:13



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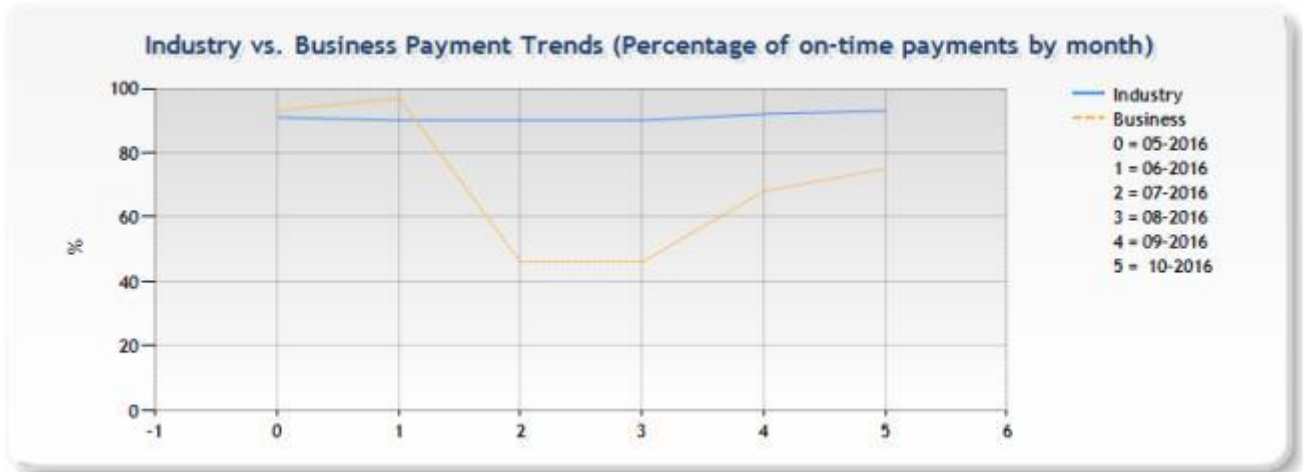


## BUSINESS PROFILE/ OPERATIONS

### Payments (Cont'd)

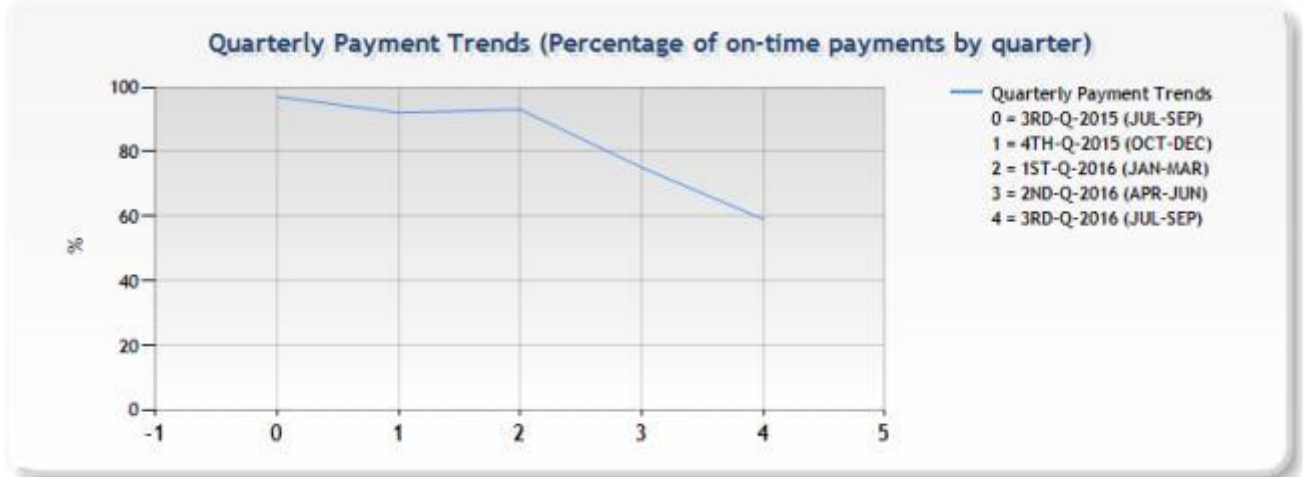
#### Payments Trends

	INDUSTRY - Balance	Current	DBT	BUSINESS - DBT	Balance	Current	1-30	31-60	61-90	91+
As Of XX-20XX	\$XX,373	XX%	XX	XX	\$XX,700	XX%	XX%	XX%	XX%	XX%
XX-20XX	\$XX,357	XX%	XX	XX	\$XX,800	XX%	XX%	XX%	XX%	XX%
XX-20XX	\$XX,818	XX%	XX	XX	\$XX,500	XX%	XX%	XX%	XX%	XX%



#### Payment History - Quarterly Averages

	DBT	Balance	Current	1-30 Days	31-60 Days	61-90 Days	91+ Days
3RD-Q-20XX (XXX-XXX)	XX	\$XX,000.00	XX%	XX%	XX%	XX%	XX%
2ND-Q-20XX (XXX-XXX)	XX	\$XX,000.00	XX%	XX%	XX%	XX%	XX%



### Collections

No Collections on records.

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## **SHAREHOLDERS & MANAGEMENT**

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### **Share Capital Structure**

Subject is directly owned by XXX (USA) Inc., an lxxx corporation which is 100% owned by XXX FiNxxx Group Pte Ltd, a Sinxxx Corporation.

Note: In the United States, a parent corporation is not responsible for any subsidiary debts. As such, this report is supplied on the subsidiary corporation with no parent corporation information except by reference.

### **Directors/ Principals**

Name:	<b>JXXXh MazXXXk</b>
Position:	President
Name:	<b>PXXXI FXXX</b>
Position:	Chief Operating Officer

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## **RELATED COMPANIES**

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### **Parent Company**

XXX (USA) Inc.

### **Other Related Companies**

- XXXX Finxxxx Fxx Maxxx
- XXXX Sinxxxx Pte Ltd (SSPL)
- XXXX Finxxx Sexx Pte Ltd. (SFS)
- XXXX Caxxx Lxxx (OCL)

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## **BANKING & FINANCIAL INFORMATION**

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### **Bankers**

None developed during the course of investigation.

### **Financial Data**

No financial statement available during the report preparation as Subject is a privately-owned company and its financial data are not publicly available.

However, based on available source, the following is the partial balance sheet of Subject:

	<b>December XX, 20XX USD</b>
<b>Assets</b>	
Cash	XXX,104
Cash segregated under federal and other regulations	XXX,XXX,745
Deposits with clearing organizations	XXX,XXX,321
Receivables:	
Clearing brokers	XXX,XXX,526
Customers	XXX,532
Related parties	XXX,003
Other	XXX,038
Exchange memberships, at cost (fair value \$1,988,500)	X,XXX,000
Furniture, equipment and software, at cost (net of accumulated depreciation and amortization of \$247,145)	XXX,026
Other assets	XX,095
<b>Total assets</b>	<b>XXX,XXX,390</b>
<b>Liabilities and Members' Equity</b>	
Liabilities	
Payables:	
Customers	XXX,XXX,446
Noncustomers	XXX,724
Related parties	XXX,600
Accounts payable and accrued expenses	X,XXX,240
<b>Total liabilities</b>	<b>XXX,XXX,010</b>
Members' Equity	XXX,XXX,380
<b>Total liabilities and members' equity</b>	<b>XXX,XXX,390</b>

Note: Subject's profit and loss account is not available during the course of investigation.

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## SUMMARY

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Subject was registered as a private corporation and founded in 20XX.

Subject is a Futures Commission Merchant (FCM) registered with the Commodity Futures Trading Commission (CFTC) and the National Futures Association (NFA).

Subject is part of XXX Fixxx Group Pte Ltd, which headquartered in Sixxx. The company is engaged in providing various financial service such as commodity broking, clearing, electronic trading and other investment related services.

No financial statement available during the report preparation as Subject is a privately-owned company and its financial data are not publicly available. However, based on available source, in FY15, Subject has a total of members' equity of USD XXX,XXX,380.

No liens, judgements or bankruptcies were found recorded under Subject's name.

In view of the above, Subject's credit rating is **DP6**.

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## RATING EXPLANATION/ SCORESHEET

Default Frequency	DP Credit Rating	Explanation	Status
<0.1%	DP1	Possess extremely strong financial fundamental with high incentive and capability for repayment of obligations.	Premium
0.1% - <0.2%	DP2	Has strong financial health with above average capability for meeting payments.	Premium
0.2% - <0.4%	DP3	Stable financial health and above normal operational environment. General unfavourable factors are not likely to cause distress.	Strong
0.4% - <1.0%	DP4	Overall financial health and operation are considered normal. Capable of meeting its commitments. May be susceptible to difficulties in the event of drastic changes in economic conditions.	Strong
1.0% - <3.0%	DP5	Adequate financial capabilities to meet normal commitments. However, adverse changes in economic condition could lead to doubtfulness in the ability to pay.	Moderate
<b>3.0% - &lt;8.0%</b>	<b>DP6</b>	<b>Sufficiently sound financial ability to meet normal obligations. Capabilities in reacting to adverse operational condition are limited or consider doubtful.</b>	<b>Moderate</b>
8% - <14.0%	DP7	Weakness in financial ability is apparent. Vulnerable to unfavourable changes in the economic and operational environment and is likely to fall into a weakened financial condition.	Monitor
14.0% - <30%	DP8	Apparent weakness in financial health with limited capability to meet its obligations especially in the event of any adverse changes in operating environment.	Monitor

\*DP 1 to DP 4 is comparable to "Investment Grade" rated securities, and DP 5 to DP 6 is comparable to "High Yield" rated securities, and DP 7 to DP8 is comparable to "High Risk" rated securities.

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