

# SAMPLE OF INDIVIDUAL KYC CHECK (FIC)



## Exact Name Match

REQUEST CRITERIA (You have requested to search on the following)	
Date of Request:	10/06/2015
Name of Requestor:	SUBSCRIBER
Requested Forename:	MICHAEL
Requested Surname:	SULLIVAN
File Reference Number:	KYC REF

SEARCH RESULT		
<b>Enforcement / Sanctions</b>		
Match Result:		<b>MATCH</b>
<b>Name</b>	<b>Country</b>	<b>Description</b>
MICHAEL SULLIVAN	UNITED STATES	FORMER MEMBER OF THE MISSOURI HOUSE OF REPRESENTATIVES (JANUARY 08, 2003 - JANUARY 03, 2011)
<b>Politically Exposed People</b>		
Match Result:		<b>MATCH</b>
<b>Name</b>	<b>Country</b>	<b>Description</b>
MICHAEL SULLIVAN	UNITED STATES	FORMER MEMBER OF THE MISSOURI HOUSE OF REPRESENTATIVES (JANUARY 08, 2003 - JANUARY 03, 2011)
MICHAEL SULLIVAN	IRELAND	SON OF MICHAEL SMITH, FORMER TEACHTA DÁLA OF IRELAND
<b>Adverse Media</b>		
Match Result:		<b>NO MATCH</b>

## Result Legends

Result	Explanation
Match	DATA PROVIDED MATCHES ENFORCEMENT / SANCTIONS/ POLITICALLY EXPOSED PEOPLE/ ADVERSE MEDIA RECORDS
No Match	RESULTS NOT FOUND OR NO DATA PROVIDED TO MATCH AGAINST ENFORCEMENT / SANCTIONS/ POLITICALLY EXPOSED PEOPLE/ ADVERSE MEDIA RECORDS

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# SAMPLE OF INDIVIDUAL KYC CHECK (FIC)



## Partial Name Match

REQUEST CRITERIA (You have requested to search on the following)	
Date of Request:	10/06/2015
Name of Requestor:	SUBSCRIBER
Requested Name	MICHAEL SULLIVAN
File Reference Number:	KYC REF

SEARCH RESULT		
<b>Enforcement / Sanctions</b>		
<b>Match Result:</b>		<b>MATCH</b>
Name	Country	Description
MICHAEL SULLIVAN	UNITED STATES	CHARGED WITH SECURITIES FRAUD-MARCH 16, 2004
SULLIVAN MICHAEL	AUSTRALIA	FINED \$8000 FOR FRAUD - 1998
MICHAEL BRENDON SULLIVAN	UNITED STATES	ENTITY ON THE EXCLUDED PARTY LIST OF U.S. DEPARTMENT OF JUSTICE (OCTOBER 28, 2014 - OCTOBER 28, 2019)
MICHAEL, SULLIVAN	UNITED STATES	CONSENT ORDER ISSUED FOR VIOLATION OF TENNESSEE SECURITIES ACT - AUGUST 17, 2001
<b>Politically Exposed People</b>		
<b>Match Result:</b>		<b>MATCH</b>
Name	Country	Description
MICHAEL SULLIVAN	UNITED STATES	FORMER MEMBER OF THE MISSOURI HOUSE OF REPRESENTATIVES (JANUARY 08, 2003 - JANUARY 03, 2011)
MICHAEL SULLIVAN	IRELAND	SON OF MICHAEL SMITH, FORMER TEACHTA DÁLA OF IRELAND
SULLIVAN MICHAEL	IRELAND	FORMER TEACHTA DÁLA OF IRELAND (FEBRUARY, 1987 – MAY, 2007)
MICHAEL SULLIVAN STUART	CANADA	MAYOR OF SAUGEEN SHORES TOWN COUNCIL (2006 - 2014)
MIKE SULLIVAN	AUSTRALIA	SHADOW MINISTER FOR ECONOMIC AND BUSINESS DEVELOPMENT, AUSTRALIAN CAPITAL TERRITORY (2012 -
<b>Adverse Media</b>		
<b>Match Result:</b>		<b>MATCH</b>
MICHAEL, SULLIVAN	UNITED KINGDOM	CHARGED WITH BURGLARY - DECEMBER, 2014

## Result Legends

Result	Explanation
Match	DATA PROVIDED MATCHES ENFORCEMENT / SANCTIONS/ POLITICALLY EXPOSED PEOPLE/ ADVERSE MEDIA RECORDS
No Match	RESULTS NOT FOUND OR NO DATA PROVIDED TO MATCH AGAINST ENFORCEMENT / SANCTIONS/ POLITICALLY EXPOSED PEOPLE/ ADVERSE MEDIA RECORDS

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